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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	85151.911CPAC
First Inventor	BRICKLIN et al.
Title	HYPERMEDIA AUTHORIZING AND PUBLISHING SYSTEM
Express Mail Label No.	EL70516985US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **71**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **21**]
5. Oath or Declaration [Total Pages **3**]
 - a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☒ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Supp. Util. App. Transmittal

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No.: **08, 723,301**

Prior application information:

Examiner **FEILD, J.**Group Art Unit: **2776**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Gary A. Hecker, Esq.				
Address	THE HECKER LAW GROUP				
	1925 Century Park East, Suite 2300				
City	Los Angeles	State	California	Zip Code	90067
Country	USA	Telephone	(310)286-0377	Fax	(310)286-0488

Name (Print/Type)	Obi Iloputaife	Registration No. (Attorney/Agent)	45,677	
Signature	[Signature]		Date	01/16/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT		(\$) 2,232	
Application Number		TO BE ASSIGNED	
Filing Date		Jan. 16, 2001	
First Named Inventor		BRICKLIN et al.	
Examiner Name		Feild, J.	
Group Art Unit		2776	
Attorney Docket No.		85151.911CPAC	

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<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT</h3> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <u>08-1520</u></p> <p>Deposit Account Name: _____</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <h3 style="text-align: center; margin: 10px 0;">FEE CALCULATION</h3> <div style="border: 1px solid black; padding: 5px;"> <h4>1. BASIC FILING FEE</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility filing fee</td> <td rowspan="5" style="text-align: center; vertical-align: middle;">\$710</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> </tr> <tr> <td>107</td> <td>490</td> <td>207</td> <td>245</td> <td>Plant filing fee</td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing fee</td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: center; border: 1px solid black;">(\$) 710</td> </tr> </tbody> </table> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> <h4>2. EXTRA CLAIM FEES</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td rowspan="5" style="text-align: center; vertical-align: middle;">\$1,522</td> </tr> <tr> <td>102</td> <td>80</td> <td>202</td> <td>40</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104</td> <td>270</td> <td>204</td> <td>135</td> <td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>109</td> <td>80</td> <td>209</td> <td>40</td> <td>** Reissue independent claims over original patent</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td style="text-align: center; border: 1px solid black;">(\$) 1,522</td> </tr> </tbody> </table> <p style="font-size: x-small;">*or number previously paid, if greater; For Reissues, see above</p> </div> </div>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	710	201	355	Utility filing fee	\$710	106	320	206	160	Design filing fee	107	490	207	245	Plant filing fee	108	710	208	355	Reissue filing fee	114	150	214	75	Provisional filing fee	SUBTOTAL (1)					(\$) 710	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	103	18	203	9	Claims in excess of 20	\$1,522	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)					(\$) 1,522	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <h4>3. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Obi Iloputaife	Registration No. (Attorney/Agent)	45,677
Signature	<i>Obi Iloputaife</i>	Telephone	(310) 286-0377
		Date	01/16/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

SUPPLEMENTAL UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

This is a request for filing a

- ☒ Continuation application
☐ Divisional application

under 37 CFR 1.53(b), of pending prior application

Serial No. 08/723,301

filed: September 30, 1996

of BRICKLIN et al.

for: HYPERMEDIA AUTHORIZING AND PUBLISHING SYSTEM

- ☒ 1. Please enter the preliminary amendment, enclosed herewith.
- ☐ 2. Please cancel claims _____.
- ☒ 3. ~~Please amend the Specification by inserting after the title, the sentence:~~
~~--This is a continuation of application Serial No. 08/723,301 filed~~
~~September 30, 1996.--~~

- ☒ 4. The prior application is assigned to: TRELLIX CORPORATION.

- ☒ 5. The Power of Attorney in the prior application is to:

Gary A. Hecker et al. of
THE HECKER LAW GROUP
(previously Hecker & Harriman)

- ☒ (a) The Power appears in the original papers of the prior application.
- ☐ (b) Since the Power does not appear in the original papers, a copy of the Power in the prior application is enclosed.



FILED IN 08/723,301

(already done) JF
see A2
11/28/02

- X (c) Recognize as associate attorney and address all future communications to

Gary A. Hecker
THE HECKER LAW GROUP
1925 Century Park East, Suite 2300
Los Angeles, California 90067
(310) 286-0377



- X 6. It is hereby requested that any request for a convention priority made in the prior application be transferred to the present application.
- 7. Applicant hereby petitions for an extension of time of _____ pursuant to Rule 1.136, if one is needed, for the above-noted prior application. A duplicate copy of this sheet is enclosed for filing in the proper application file.
- X 8. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication, or credit any overpayment, to Deposit Account Number 08-1520. A duplicate of this authorization is enclosed.

Respectfully submitted,

THE HECKER LAW GROUP

Date: Jan. 16, 2001

By: Osi Montice
OBI ILOPUTAIFE
Reg. No. 45,677
— Inventor(s)
— Assignee of Complete Interest
X Attorney of Record in prior application.

1925 Century Park East
Suite 2300
Los Angeles, California 90067
(310) 286-0377

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL705169855US) in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, on Jan. 16, 2001:

Nancy T. Misketh 1-16-01
Signature Date

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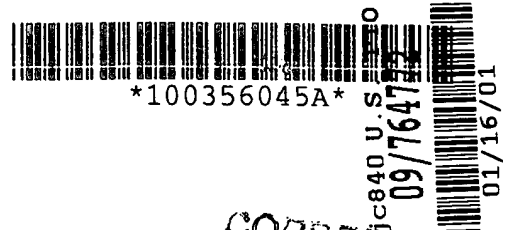
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HECKER & HARRIMAN
J.D. HARRIMAN II
2029 CENTURY PARK EAST
SUITE 1600
LOS ANGELES, CA 90067



CORRECTED
NOTICE

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/30/1996

REEL/FRAME: 8252/0812

NUMBER OF PAGES: 11

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BRICKLIN, DANIEL

DOC DATE: 09/27/1996

ASSIGNOR:

LEVIN, PETER

DOC DATE: 09/27/1996

ASSIGNOR:

ZIMRING, MICAH

DOC DATE: 09/27/1996

ASSIGNOR:

KELLEY, WINSLOW B.

DOC DATE: 09/27/1996

ASSIGNOR:

ADAMS, GEORGE

DOC DATE: 09/27/1996

ASSIGNEE:

TRELLIX CORPORATION
49 WINCHESTER STREET
NEWTON, MASSACHUSETTS 02161

8252/0812 PAGE 2

SERIAL NUMBER: 08723301

PATENT NUMBER:

FILING DATE: 09/30/1996

ISSUE DATE:

LENELL MACKALL, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-20-1997

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeFORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

To The Honorable Commissioner of F

100356045

hed original documents or copy thereof.

1. Name of conveying party(ies):

DANIEL BRICKLIN
PETER LEVIN
MICAH ZIMRING
WINSLOW B. KELLEY
GEORGE ADAMSAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 27, 1996

MRD 9-30-96

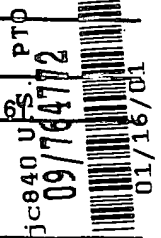
2. Name and address of receiving party(ies)

Name: TRELLUX CORPORATION

Internal Address: 49 Winchester Street
Newton, Massachusetts 0216

Street Address: (same as above)

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

08/723301

If this document is being filed together with a new application, the execution date of the application is: September 30, 1996

A. Patent Application No.(s)

B. Patent No.(s)

12345 U.S. PTO



12/04/96

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence

concerning document should be mailed:

Name: J.D. HARRIMAN II

Internal Address: HECKER & HARRIMAN
2029 Century Park East, Suite 1600

Los Angeles, California 90067

Street Address: (same as above)

City: _____ State: CA Zip: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 200.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1520

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J.D. HARRIMAN II

Name of Person Signing

Signature

September 30, 1996

Date

Total number of pages including cover sheet, attachments, and documents: 11

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